**PATENT** 

Docket No.: 176/61411 (2-11141-03010)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	J.H. David Wu and Andrea Bottaro	)	Examiner: To Be Assigned
Serial No.	:	To Be Assigned	) )	Art Unit:
Cnfrm. No.	:	To Be Assigned	)	To Be Assigned
Filed	:	Herewith	)	
For	:	THREE-DIMENSIONAL PERIPHERAL LYMPHOID ORGAN CELL CULTURES	) ) _)	

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

Mail Stop: Patent Application
Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Respectfully submitted,

Date: October 3,7005

Michael L. Goldman Registration No. 30,727

NIXON PEABODY LLP

Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051

Telephone: (585) 263-1304 Facsimile: (585) 263-1600

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.				
	176/61411 (2-11141-03010) To Be Assigned					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT					
	J.H. David Wu and Andrea Bottaro					
(use several sheets if necessary)	FILING DATE	GROUP ART UNIT				
(PTO-1449)	Herewith	To Be Assigned				

#### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO- PRIATE
	1	5,459,069	10/17/1995	Palsson, et al.			
	2	5,728,581	03/17/1998	Schwartz et al.			
	3	5,160,490	11/03/1992	Naughton et al.			
	4	5,804,431	09/08/1998	Palsson et al.			
	5	6,080,581	06/27/2000	Anderson et al.			
	6	6,329,196	12/11/2001	Johnson et al.			

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE
7	WO 01/036589 A3	05/25/2001	WIPO			
8	WO 01/64833 A3	09/07/2001	WIPO			

### OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	9	Bagley et al., "Extended Culture of Multipotent Hematopoietic Progenitors Without Cytokine Augmentation in a Novel Three-
		Dimensional Device," Experi. Hematology 27(3):496-504 (1999)
	10	Dexter et al., "Proliferation of Haemopoietic Stem Cells In Vitro," Brit. J. Haematol. 28:525-530 (1974)
	11	Fluckinger et al., "In Vitro Reconstitution of Human B-Cell Ontogeny: From CD34+ Multipotent Progenitors to
		IG-Secreting Cells." Blood 92(12):4509-4520 (1998)
	12	Mantalaris et al., "Engineering a Human Bone Marrow Model: A Case Study on Ex Vivo Erythropoiesis," Biotechnology
		Progress 14(1):126-133 (1998)
	13	Poznansky et al., "Efficient Generation of Human T Cells from a Tissue-Engineered Thymic Organoid," Nature Biotechnology
		18(7):729-734 (2000)
EXAMINER		DATE CONSIDERED
EXAMINER:	Initial if cita	tion considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance an
not considered.	Include co	py of this form with next communication to applicant.

		Sheet 2 of 3					
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.					
INFORMATION DISCLOSURE	176/61411 (2-11141-03010)	To Be Assigned					
STATEMENT BY APPLICANT	APPLICANT						
(type payonal phases (former)	J.H. David Wu and Andrea Bottaro						
(use several sheets if necessary)	FILING DATE	GROUP ART UNIT					
(PTO-1449)	Herewith	To Be Assigned					
U.S. PATENT DOCUMENTS							

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPRO-
							PRIATE
	· · · · · · · · · · · · · · · · · · ·						

#### FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS- LATION IF APPRO- PRIATE

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	14	Pollack, S.B., "Production and Differentiation of NK Lineage Cells in Bone Marrow," Nat. Immun. 12:177-193 (1993)				
	15	Porter et al., "A Tissue of T Cells," Nature Biotechnology 18(7):714-715 (2000)				
	16	Slovick et al., "Survival of Granulocytic Progenitors in the Nonadherent and the Adherent Compartments of Human Long-Term				
		Bone Marrow Cultures," Experimental Hematology 12:327-338 (1984)				
	17	Wang et al., "Multilineal Hematopoiesis in a Three-Dimensional Murine Long-Term Bone Marrow Culture," Experimental				
		Hematology 23:26-32 (1995)				
	18	Whitlock et al., "Murine B Cell Lymphopoiesis in Long Term Culture," J. Immunological Methods 67:353-369 (1984)				
	19	Whitlock et al., "Long-Term Culture of B Lymphocytes and Their Precursors from Murine Bone Marrow," <i>Proc. Natl. Acad. Sci</i> USA 79:3608-3612 (1982)				
	20	Coligan et al., eds., "Current Protocols in Immunology", Vol. 1, Chapter 3, sections II, III, and IV, Chapter 7, section IV and				
		Chapter 12 (1995)				
	21	Eaves et al., "Methodology of Long-Term Culture of Human Hemopoietic Cells," J. Tiss. Cult. Meth. 13:55-62 (1991)				
-	22	Koller et al., "Large-Scale Expansion of Human Stem and Progenitor Cells from Bone Marrow Mononuclear Cells in Continuous				
		Perfusion Cultures," Blood 82(2):378-384 (1993)				
EXAMINER		DATE CONSIDERED				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

										S	heet 3 of 3
			COMMERCE	ATTY. DO	OCKET NO.			-	SERIAL NO.		
			ARK OFFICE	176/61411	(2-11141-03010)				To Be Assigned		
			CLOSURE PLICANT	APPLICAN	APPLICANT						
	(use several sheets if necessary)			J.H. David	Wu and Andrea Bott	taro					
				FILING DA	ATE	,		-	GROUI	P ART UNIT	
(PTO-1449)				Herewith					То Ве	Assigned	
					U.S. PATENT I	DOCUME	NTS				
EXAMINER INITIAL			DOCUMENT NUMBER	l l		N.	AME	CLASS		SUBCLASS	FILING DATE IF APPRO- PRIATE
			<u> </u>								
					FOREIGN PATEN	T DOCUM	ÆNTS				
	I I		DOCUM NUMI			CC	COUNTRY		CLASS SUBCLASS		TRANS- LATION IF APPRO- PRIATE
	$-\!$				<del>                                     </del>					ļ	
							***************************************	<u></u>			
			ОТНЕ	R DOCUME	NTS (including Auth	hor, Title,	Date, Pertinent Pa	ges, Etc	.)		
		23	Tjota et al., "Si	tromal Cells I	Derived from Spleen o	or Bone Ma	rrow Support the Pro	oliferatio	n of Rat	Natural Killer Cell	ls in Long-
			Term Culture,"	Proc. Soc. E	Exp. Biol. Med. 200(3)	):431-441 (1	992)			7,5-1	
		24	Bottaro et al., '	Local and G	General Regulatory Ele	ements of Ir	nmunoglobulin Clas	s Switch	Recomb	ination," In Molec	ular
			Mechanisms of	f IgE Regulat	ion, Vercelli, ed., Chi	chester, En	gland: J. Wiley and S	Sons, pp.	. 155-177	(1997)	
							77.718.4874			-	
								<del> </del>			
		$\square$							-		
											·····
				·	<del></del>					<del></del>	
EXAMINER							DATE CONSIDE	RED			
EXAMINER:	Initial i	if citat	ion considered,	whether or n	ot citation is in confor	rmance with	h MPEP 609; Draw	line thro	ough citat	tion if not in confe	ormance and
not considered.	. Includ	de cop	y of this form w	ith next com	munication to applicar	nt.					